

| | | | |
|---|---------------------------------|------------------------|----------------------------|
| AMENDMENT TRANSMITTAL LETTER | | | Docket No. 29342/36231A |
| Application No. 10/031,531-Conf. #6194 | Filing Date January 17, 2002 | Examiner S. T. Tran | Art Unit 1615 |

Applicant(s): Neil R. Anderson et al.

Invention: β -CARBOLINE PHARMACEUTICAL COMPOSITIONS

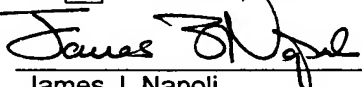
TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED | | | | | |
|--|---|---|-----------------------------------|------|---------------|
| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | |
| Total Claims | 14 | - 20 = | | x | |
| Independent Claims | 1 | - 3 = | | x | |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | |
| Other fee (please specify): Extension for response within first month | | | | | 110.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: | | | | | 110.00 |

- ☒ Large Entity ☐ Small Entity
- ☐ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 110.00 to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 13-2855
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.



James J. Napoli
Attorney Reg. No.: 32,361

MARSHALL, GERSTEIN & BORUN LLP
233 S. Wacker Drive, Suite 6300
Sears Tower
Chicago, Illinois 60606-6357
(312) 474-6300

Dated: August 9, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 9, 2004

Signature:  (James J. Napoli)

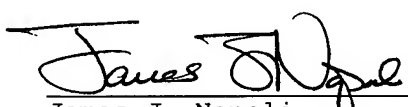
BOX AF



RESPONSE UNDER 37 C.F.R. 116
EXPEDITED PROCEDURE
EXAMINING ART UNIT 1615

PATENT--FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--|---|---|
| Applicants: |) | I hereby certify that this |
| |) | paper is being deposited |
| NEIL R. ANDERSON ET AL. |) | with the United States |
| |) | Postal Service with suffi- |
| Serial No.: 10/031,531 |) | cient postage, as first |
| |) | class mail, in an envelope |
| Filed: April 15, 2002 |) | addressed to: |
| |) | Commissioner for Patents |
| For: β -CARBOLINE PHARMACEUTICAL |) | P.O. Box 1450 |
| COMPOSITIONS |) | Alexandria, VA 22313-1450 |
| |) | |
| Attorney Docket No. 29342/36231A |) | Dated: August 9, 2004 |
| |) | |
| Group Art Unit: 1615 |) | |
| |) | |
| Examiner: Susan T. Tran |) | |
| |) | |
| |) |  |
| |) | James J. Napoli |
| |) | Registration No. 32,361 |
| |) | Attorney for Applicants |

AMENDMENT "B" AFTER FINAL UNDER 37 C.F.R. §1.116

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action of April 16, 2004, please amend the above-identified application as follows. Reconsideration and allowance of the claims are respectfully requested.